

Chapter-1

INTRODUCTION

PROVISION OF LAW UNDER WHICH THIS REPORT IS MADE

Section 12(6) of the Karnataka Lokayukta Act, 1984 (hereinafter referred to as 'the Lokayukta Act') mandates that the Lokayukta shall present annually a Consolidated Report on the performance of his functions and that of the Upalokayuktas under the Lokayukta Act to the Governor. Accordingly, this report is presented for the 30th Year of the establishment of the Karnataka Lokayukta.

1) PERIOD OF THE REPORT

This report pertains to the functions of Karnataka Lokayukta for the financial year 2015-16, i.e., for the period from 1st April 2015 to 31st March 2016.

2) NAMES OF HON'BLE LOKAYUKTA AND UPALOKAYUKTAS, WHO FUNCTIONED DURING THE YEAR

The present report refers to the functions performed by;

- (1) Hon'ble Justice Dr. Y. BhaskarRao, Lokayukta
- (2) Hon'ble Justice Sri S.B. Majage, Upalokayukta
- (3) Hon'ble Justice Sri N. Ananda, Upalokayukta
and
- (4) Hon'ble Justice Sri Subhash B. Adi,
Upalokayukta.

3) OBJECTIVES OF THE KARNATAKA LOKAYUKTA ACT

(1) The Lokayukta Act is enacted under Karnataka Act No. 4 of 1985 by the Karnataka State Legislature on the recommendations of the Administrative Reforms Commission for setting up the institution of Lokayukta for the purpose of improving the standards of public administration, by looking into the complaints against administrative actions, including the cases of corruption, favouritism and official indiscipline in the administrative machinery. The Act was first published in the Karnataka Gazette, Extraordinary on the Twenty-Eighth day of January, 1985 and which has received the assent of the President on the Sixteenth day of January, 1985.

(2) The Lokayukta Act makes provision for the appointment and functions of Lokayukta and Upalokayuktas for making enquiries into administrative action relatable to matters specified in List II or List III of the Seventh Schedule to the Constitution taken by or on behalf of the Government of Karnataka or certain public authorities in the State of Karnataka, including any omission or commission in connection with or arising out of such action, in certain cases and matters connected therewith or ancillary thereto.

3) FUNCTIONS OF LOKAYUKTA & UPALOKAYUKTAS

(1) The general thinking of the people is that Lokayukta is created for combating corruption, by catching hold of the persons who are demanding bribe or catching hold of the persons who are in possession of assets disproportionate to their known sources of income. But, the functions are not limited to that extent only. The role of Lokayukta is not only confined to corruption, but it extends to redressal of grievances of citizens and also to make investigation into allegations against public servants and recommending disciplinary action against them. Thus, the Lokayukta oversees the Good Governance of the State. Providing efficient and corruption free administration and thereby ensuring good governance is the paramount responsibility of every democratic Government. It is said that Corruption is the friend of the rich and the enemy of the poor. Besides causing irreparable damage to the trust and public confidence in systems which affect people's daily lives, corruption threatens security of the Country, Corruption undermines political, social and economic stability and leads to maladministration. There cannot be any dispute that the Karnataka Lokayukta has acquired nationwide image and prestige as a premier institution fighting corruption and monitoring good governance.

(2) The Institution of Lokayukta, therefore, has proved to be an effective watch dog against injustice being caused to the citizens, and also last ray of hope available to the public to ventilate their grievances against corruption, mal-administration and administrative lapses in the State Machinery. In certain suitable cases, this institution has also by way of recommendation to Government suggest remedial actions to establish good governance.

4) JURISDICTION OF LOKAYUKTA AND UPA-LOKAYUKTAS

(1) According to Section 7 (1) of the Lokayukta Act, the Lokayukta has jurisdiction over any action taken by or with the approval of the Chief Minister, any other Minister or Secretary or a Member of the State Legislature or any other public servant notified by the State Government. Further, the State Legislature has amended the Lokayukta Act by Act No.25 of 2010 and according to which, the Chairman, the Vice-Chairman (by whatever name called) or a member of an Authority, Board or Committee, a Statutory or Non-statutory Body or a Corporation, established by or under any Law of the State Legislature including a Society, Co-operative Society or a Government company within the meaning of Section 617 of the Companies Act, 1956, nominated by the State Government, comes within the jurisdiction of Lokayukta. Further, all public servants holding a post of pay carrying either a fixed pay, salary or

remuneration of more than Rs.40,000/- per month or a pay scale, the minimum of which is more than Rs.40,000/- as revised from time to time, come within the jurisdiction of Lokayukta.

(2) According to Section 7(2) of the Lokayukta Act, an Upalokayukta may investigate any action which is taken by or with the general or specific approval of any public servant, not being the Chief Minister, Minister, Member of the State Legislature, Secretary or other public servant referred to sub-section (1) of Section 7, in any case where a complaint involving a grievance or an allegation is made in respect of such action or such action can be or could have been, in the opinion of the Upalokayukta recorded in writing, the subject matter of a grievance or an allegation.

(3) Further, according to Section 7(2A) of the Lokayukta Act, the State Government may refer matters to the Lokayukta or an Upalokayukta for conducting investigation.

5) BRANCHES/WINGS IN THE KARNATAKA LOKAYUKTA INSTITUTION

(1) The following Branches/Wings exist at present to assist the Lokayukta/Upalokayukta in their functioning under the Karnataka Lokayukta Act.

- 1) Administration Wing
- 2) Enquiry Wing

- 3) Police Wing
- 4) Technical Audit Cell.

(2) Registrar is declared as Head of the Department under Schedule (1) to the Karnataka Civil Service Rules. Further the Registrar heads the Administration and Enquiry Wing in the Karnataka Lokayukta, while Police wing is headed by Additional Director General of Police and the Technical Audit Cell is headed by the Chief Engineer.

(3) To assist the Lokayukta/Upalokayuktas in their functioning, officers from Judiciary and Departments of Police, Prosecution, Public Works, Statistics and State Accounts, work on deputation in the Lokayukta organization. Further ministerial staffs are also recruited directly for the Karnataka Lokayukta Institution. In addition, the Lokayukta or Upalokayuktas can take the assistance of any officer of the State and Central Government and any other agency.

6) FUNCTIONS OF EACH BRANCH / WING OF THE KARNATAKA LOKAYUKTA

(1) Administration Wing:-

In the Administration wing, apart from the administration of the Lokayukta institution in respect of officers/staff, expenditure, accounts, stores, etc., receiving of complaints from the general public and processing the same in accordance with the Karnataka Lokayukta Act are dealt with. Further, the officers/officials are also assisting

the Lokayukta/Upalokayukta in the investigation of the complaints.

(2) Enquiry Wing:-

The officers (Judicial Officers) of the Enquiry Wing are conducting Departmental Enquiries against the public servants which are initiated by the Government under Rule 14-A of the Karnataka Lokayukta Act. Besides this, the Officers are assisting the Lokayukta/Upalokayukta in scrutiny of the complaints, preparation of reports under Section 12(3) of the Karnataka Lokayukta Act.

(3) Police Wing:-

The Police Wing deals with cases registered under the Prevention of Corruption Act, besides it also assists the Lokayukta/Upalokayukta in conducting investigation into the complaints received under the provisions of the Karnataka Lokayukta Act as referred to it by the Lokayukta/Upalokayukta

(4) Technical Audit Cell:-

The Technical Audit Cell mainly conducts investigations referred to them by the Lokayukta/Upalokayukta in the matters such as allegations of execution of sub-standard works by the public servants, misappropriation of money, loss caused to the Government due to negligence on the part of public servants. The Technical Wing also assists the Police Wing in evaluating the cost of construction of buildings by the public servants, against whom the cases of possession of disproportionate assets has been registered.

7) GENERAL

(1) During the current financial year, the Lokayukta and Upalokayuktas, apart from receipt and disposal of

complaints, have also visited many District places and received the complaints from the public and heard them in public before the concerned public officers. Many of such grievances have been redressed at the spot itself. The Superintendents of Police and other Officers of the Police Wing of the Karnataka Lokayukta, posted in district Head Quarters are also instructed to visit every Taluk coming under their jurisdiction after giving wide publicity and afford an opportunity to the aggrieved citizens to make their complaints and if possible to redress their grievances with the assistance of the concerned officers of the Government. In such cases where grievances could not be redressed at the spot, the complaints are sent to the head office at Bengaluru and the matter will be investigated into by the Lokayukta or Upalokayuktas, as the case may be.

(2) 24x7 HELPLINE functioning in Karnataka Lokayukta to take care of cases of emergency is functioning round the clock and in many cases, the same has proved to be very helpful to the aggrieved citizens in getting immediate and timely relief in Government offices particularly in hospitals and police stations throughout the State in emergency situations. The said facility can be availed by dialing 155320 and 18004255320 which are toll free numbers. 24 x 7 HELPLINE can also be availed by dialing 22375014.

(3) The Lokayukta and Upalokayuktas have also taken Suo-Motu notice of the incidents, which in their opinion is the subject of grievance or an allegation.

8) STATISTICS / DETAILS OF THE FUNCTIONING DURING THE CURRENT FINANCIAL YEAR (2015-16)

The details of the complaints investigated into under the Lokayukta Act, enquiries conducted under Karnataka Civil Services (Classification, Control and Appeal) Rules, 1957, cases investigated by the Lokayukta Police for the offences under the Prevention of Corruption Act and investigations handled by the Technical Wing of the institution are indicated in detail in different chapters annexed to this Report.

Sd/-
(Justice P. Vishwanatha Shetty),
Lokayukta,
Karnataka State.

Chapter-2

INVESTIGATION OF COMPLAINTS UNDER THE KARNATAKA LOKAYUKTA ACT, 1984

I) Petitions without Form No. I & II:

Every complaint under the provisions of the Lokayukta Act should be made in the form of a Statement (Form No. I) supported by an Affidavit (Form No. II), as provided under Section 9(2) of the Karnataka Lokayukta Act. Apart from the complaints in the prescribed form, there are number of petitions, including anonymous, pseudonymous and others received in the Karnataka Lokayukta office. Those petitions are also examined and wherever the address of the complainant is available and where such allegations/grievances made in the complaint could be investigated under the provisions of Karnataka Lokayukta Act, Form No. I and Form No. II would be sent to them for compliance. The complaints which contain allegations relating to contravention of Prevention of Corruption Act, 1988 will be referred to the Police Wing, if the same could form a basis as source information for their action under the provisions of the said Act. Anonymous or pseudonymous complaints will also be considered for taking suo-motu investigation in appropriate cases.

II) Complaints in Form No.I with Affidavit in Form No.II

The complaints which are filed in accordance with the provisions of Lokayukta Act and Rules have been registered such complaints are initially scrutinized by the Scrutiny Officers. After making such preliminary scrutiny, if the Lokayukta/Upalokayuktas propose to proceed to conduct any investigation under the Karnataka Lokayukta Act, the copy of the complaint will be sent to the public servant/s concerned and the competent authority and such public servants will be given an opportunity to offer his/their comments on such complaint/s. If the complaint is frivolous or vexatious or is not made in good faith or there are no sufficient grounds for investigating or, for continuing the investigation; or other appropriate remedies are available to the complainant, the Lokayukta/Upalokayuktas may refuse to investigate or cease to investigate such complaint after recording reasons and communicates the same to the complainant/s.

In conducting the investigations into the complaints as per the provisions of the Karnataka Lokayukta Act, the Lokayukta/Upalokayuktas will be assisted by the Additional Registrars/Deputy Registrars/Assistant Registrars (Legal Opinion)/Public Prosecutor. The Lokayukta/Upalokayuktas will also get the complaints investigated through the

Technical Wing or the Police Wing of the Lokayukta organization. Further, the Hon'ble Lokayukta/Upalokayuktas may also utilize the services of Officers of the State Government or Officers of the Central Government with the concurrence of that Government or the services of any other person or agency for investigation of the complaints, under Section 15(3) of the Karnataka Lokayukta Act.

Further, as per Section 8 of the Lokayukta Act, the Lokayukta/Upalokayuktas shall not conduct any investigation under the Act in case of complaints involving grievance in respect of any action specified in Schedule -II, namely,

- a) Action taken for the purpose of investigating crimes relating to the security of the State.
- b) Action taken in the exercise of powers in relation to determining whether a matter shall go to a court or not,
- c) Action taken in matters which arise out of the terms of a contract governing purely commercial relations of the administration with customers or suppliers except where the complainant alleges harassment or gross delay in meeting contractual obligations.
- d) Action taken in respect of appointments, removals, pay, discipline, superannuation or other matters relating to conditions of service of public servants but not including action relating to claims for pension, gratuity, provident fund or to any claims which arise on retirement, removal or termination of service.
- e) Grant of honours and awards.

Further, the Lokayukta/Upalokayuktas cannot investigate any complaint involving grievance if the complainant has or had, any remedy by way of appeal, revision, review or other proceedings before any Tribunal, Court, or other authority and has not availed of the same.

3) The Lokayukta/Upalokayuktas for the purpose of any investigation (including preliminary enquiry before such investigation) under the Karnataka Lokayukta Act, is empowered to summon relevant documents and witnesses and record evidence.

4) If the Lokayukta/Upalokayuktas is/are satisfied that the action of the public servant has resulted in unjust or undue hardship to the complainant or to any other person, a report will be sent to the Competent Authority under Section 12(1) of the Lokayukta Act, recommending that such injustice or hardship shall be remedied or redressed in such a manner and within such time, as may be specified in the report and the Competent Authority shall within one month of the expiry of the period specified in the report, intimate the Lokayukta or the Upalokayuktas the action taken on the report under Section 12(2) of the Lokayukta Act.

5) If the Lokayukta/Upalokayuktas is/are satisfied that an allegation is substantiated either wholly or partly, a report will be sent to the Competent Authority under Section 12(3) of the Karnataka Lokayukta Act, recommending suitable action and such Competent Authority shall within three months of the date of receipt of the report, intimate the Lokayukta/Upalokayuktas the action taken or proposed to be taken on the basis of the report under Section 12(4) of the Lokayukta Act.

6) If the Lokayukta/Upalokayuktas is/are satisfied with the action taken or proposed to be taken on the recommendation or findings under section 12(1) or 12(3) of the Act, the case will be closed under intimation to the complainant, the public servant and the competent authority concerned; but where Lokayukta/Upalokayuktas is/are not so satisfied and if he considers that the case so deserves, he may make a special report to His Excellency the Governor of Karnataka under Section 12(5) of the Lokayukta Act and also inform the Competent Authority concerned and the Complainant.

7) The statements and details regarding the complaints received, disposed off and pending for the year 2015-16 are furnished herein.

**STATEMENT SHOWING COMPLAINTS (WITH AFFIDAVIT)
PENDING AT THE BEGINNING, RECEIVED AND DISPOSED
OFF DURING THE YEAR AND PENDING AT THE END OF
THE YEAR**

Jurisdiction	Pending as on 31/03/2015 (01/04/2015)	Received From 01/04/2015 to 31/03/2016	Total	No. of cases disposed of from 01/04/2015 to 31/03/2016	Total No. of cases pending as on 31/03/2016
Hon'ble Lokayukta	811	1491	2302	564	1738
Hon'ble Upalokayukta-1	3012	1423	4435	1328	3107
Hon'ble Upalokayukta-2	4176	2079	6255	1180	5075
Total	7999	4993	12992	3072	9920

MANNER OF DISPOSAL OF COMPLAINTS

Jurisdiction	No. of cases disposed off by way 12(3) Report sent	No. of cases disposed off by way of 12(1) Report sent	No. of cases disposed off as the grievance of the complainants redressed	No. of cases disposed off as the allegations/grievance of the complainants not entertainable u/S.8	No. of cases disposed off as the allegations/ grievance of the complainants not established u/Sec.9	No. of cases in which the complainants have withdrawn their complaints	Others	Total
Hon'ble Lokayukta	17	6	15	188	119	08	211	564
Hon'ble Upalokayukta-1	264	11	05	252	128	01	667	1328
Hon'ble Upalokayukta-2	208	2	20	325	263	21	341	1180
Total	489	19	40	765	510	30	1219	3072

Government Referred Cases

Apart from the complaints filed under Sec.7 (1) or 7(2), the Government may also refer the complaints to the Lokayukta/Upalokayukta under Sec.7 (2-A) of the Karnataka Lokayukta Act. These cases are also dealt with, as in the case of complaint cases. The same will be allotted to scrutiny officers and after investigation of the same; a report will be sent to the Government. The details of such Government referred cases during the year are as under:-

GOVERNMENT REFERRED CASES

Jurisdiction	Pending as on 31/03/2015	Received from 01/04/2015 to 31/03/2016	Total	No. of references disposed off	No. of cases in which action was recommended u/Sec.12(3) of Lokayukta Act	Total No. of cases pending as on 31/03/2016
Hon'ble Lokayukta	12	1	13	1	0	12
Hon'ble Upalokayukta-1	10	2	12	2	1	9
Hon'ble Upalokayukta-2	8	1	9	1	1	7
Total	30	4	34	4	2	28

**STATEMENT SHOWING THE REPORTS UNDER SEC. 12(1),
12(3) AND 12(5) OF THE KARNATAKA LOKAYUKTA ACT
SENT DURING THE YEAR**

Sl. No.	Particulars	Hon'ble Lokayukta Jurisdiction	Hon'ble Upalokayukta-1 Jurisdiction	Hon'ble Upalokayukta-2 Jurisdiction	Total
1	Number of reports sent under Section 12(1) of the Lokayukta Act.	6	11	2	19
2	Number of reports sent under Section 12(3) of the Lokayukta Act.	17	264	208	489
3	Number of Reports sent under Section 12(5) of the Lokayukta Act.	2	6	1	9

The list showing the details of Reports under Section 12(1) of the Karnataka Lokayukta Act is appended to this Report at Annexure-A.

The List showing the details of Reports under Section 12(3) of the Karnataka Lokayukta Act is appended to this Report at Annexure-B.

The List showing the details of Reports under Section 12(5) of the Karnataka Lokayukta Act is appended to this Report at Annexure-C.

STATEMENT SHOWING THE NUMBER OF REPORTS SENT UNDER SECTION 12(1) OF THE KARNATAKA LOKAYUKTA ACT TO THE COMPETENT AUTHORITY & ACTION TAKEN BY COMPETENT AUTHORITY ON IT DURING THE YEAR AND PENDING AT THE END OF 31/03/2016

Jurisdiction	Report u/Sec. 12(1) pending with Competent Authority as on 31/03/2015	Report u/Sec. 12(1) sent to the Competent Authority from 01/04/2015 To 31/03/2016	Total	Compliance received on Section 12(1) Report u/Sec. 12(2) from 01/04/2015 to 31/03/2016	Reports u/Sec. 12(1) pending with the Competent Authority as on 31/3/2016
Hon'ble Lokayukta	14	6	20	2	18
Hon'ble Upa-Lokayukta-1	4	11	15	7	8
Hon'ble Upa-Lokayukta-2	3	2	5	2	3
Total	21	19	40	11	29

STATEMENT SHOWING THE NUMBER OF REPORTS UNDER SECTION 12(3) SENT TO THE COMPETENT AUTHORITY, ACTION TAKEN BY THE COMPETENT AUTHORITY ON IT AND PENDING AT THE END OF 31/03/2016

Jurisdiction	Report u/Sec. 12(3) pending with Competent Authority as on 31/03/2015	Report u/Sec. 12(3) sent to the Competent Authority from 01/04/2015 to 31/03/2016	Total	Compliance received on Section 12(3) Report u/Sec. 12(4) from 01/04/2015 to 31/03/2016	Reports u/Sec. 12(3) pending with the Competent Authority as on 31/03/2016
Hon'ble Lokayukta	54	18	72	27	45
Hon'ble Upa-Lokayukta-1	183	266	449	294	155
Hon'ble Upa-Lokayukta-2	86	203	289	204	85
Total	323	487	810	525	285

STATEMENT SHOWING THE NUMBER OF REPORTS UNDER SECTION 12(5) SENT TO HIS EXCELLENCY THE GOVERNOR OF KARNATAKA, ACTION TAKEN ON IT AND PENDING AT THE END OF 31/03/2016

Jurisdiction	Report u/Sec.12(5) pending with Competent Authority as on 31/03/2015	Report u/Sec. 12(5) sent to the Competent Authority from 1/4/2015 to 31/3/2016	Total	Action taken on 12(5) report during the period from 1/4/2015 to 31/3/2016	Reports u/Sec. 12(5) pending with the Competent Authority as on 31/3/2016
Hon'ble Lokayukta	23	2	25	1	24
Hon'ble Upa-Lokayukta-1	3	6	9	5	4
Hon'ble Upa-Lokayukta-2	0	1	1	0	1
Total	26	9	35	6	29

Chapter-3

DEPARTMENTAL INQUIRIES

The Government on taking action on the recommendations made in the Reports sent by the Hon'ble Lokayukta or the Hon'ble Upalokayuktas under Sec. 12(3) of the Karnataka Lokayukta Act, will entrust enquiries against the Respondents to the Hon'ble Lokayukta or the Hon'ble Upalokayuktas, under Rule 14-A of the Karnataka Civil Services (Classification, Control and Appeal) Rules, 1957. In pursuance to the said entrustment of enquiry by the Government, Hon'ble Lokayukta/Upalokayuktas will nominate the Inquiry Officer to frame charges, conduct Inquiry and submit the report. During the year 2015-16, nominations in 459 cases have been done. In respect of the enquiries entrusted to the Hon'ble Lokayukta/Upalokayuktas by the Government under Rule 14-A of the said KCS (CCA) Rules, after conducting the enquiry, the Enquiry Officers will submit their reports to the Lokayukta/Upalokayuktas, as the case may be, recording their findings on the charges along with supporting evidence and connected records. In turn,

these Reports will be sent to the concerned Disciplinary Authority by the Hon'ble Lokayukta/Upalokayuktas, along with their recommendations. On receipt of the same, the Disciplinary Authority will take action in accordance with Rule 11-A of the Karnataka Civil Services (C C & A) Rules, 1957.

STATEMENT SHOWING THE DEPARTMENTAL ENQUIRIES PENDING AT THE BEGINNING OF THE YEAR, RECEIVED, DISPOSED OFF DURING THE YEAR AND PENDING AT THE END OF THE YEAR

Sl. No.	Particulars	Under Rule 14-A	Under Rule 11(2)	Other Acts	Total
1	Cases pending as on 31/03/2015	2212	7	0	2219
2	Cases received from 01/04/2015 to 31/03/2016	521	3	0	524
3	Total	2733	10	0	2743
4	Cases disposed off from 01/04/2015 to 31/03/2016	407	5	0	412
5	Cases pending as on 31/03/2016	2326	5	0	2331

MANNER OF DISPOSAL OF ENQUIRY CASES

Type of Cases	No. of cases in which charges held proved and penalty recommended to Government	No. of cases in which charges held not proved	Other Modes	Total
Under Rule 14-A	311	81	15	407
Under Rule 11(2)	2	2	1	5
Other Acts	0	0	0	0
Total	313	83	16	412

The statement showing details of enquiries in which the reports have been sent to the Disciplinary Authority during the year and the Recommendations made by the Hon'ble Lokayukta/Upalokayuktas on the Inquiry Report is appended to this Report at Annexure-D.

The statement showing the details of enquiry reports on which the Disciplinary Authority has taken action during the year under Report is appended to this Report at Annexure-E.

Chapter-4

CASES REGISTERED UNDER THE PROVISIONS OF PREVENTION OF CORRUPTION ACT, 1988

Apart from investigation under the Lokayukta Act, the Police officers deputed to the Karnataka Lokayukta institution are vested with powers to register and investigate the cases under the provisions of the Prevention of Corruption Act, 1988.

(i) Whenever the Public servant possesses assets disproportionate to his known sources of income and if there is any information or a complaint in this behalf, the definite sources will be collected secretly by the Police of Karnataka Lokayukta and a case will be registered under Sec.13(1)(e) read with Sec.13(2) of the Prevention of Corruption Act, 1988 and raid will be conducted to unearth the valuables, such as gold, cash and other movable and immovable properties.

(ii) Whenever a public servant demands for himself or for any other person any valuable thing or pecuniary advantage as a motive or reward for showing an official favour without any public interest by abusing his position as a public servant, and if there is a formal complaint in this behalf by the complainant before the Police, a case will be

registered under Sec.7 and 13(1)(d) read with Sec.13(2) of the Prevention of Corruption Act, 1988 and a trap will be laid by the Lokayukta Police. If the suspected public servant accepts the bribe by handling the currency notes, then he will be arrested and further investigation will be held on the matter.

(iii) Further, the Lokayukta Police, on the basis of the credible information of misappropriation of funds or irregularity will register the case under Section 13(1)(c) of the Prevention of Corruption Act, 1988 and the case will be investigated.

(iv) Other than raid and trap cases registered under the Prevention of Corruption Act 1988, there are also other cases handled by the Police, entrusted to them for preliminary investigation under the Lokayukta Act.

(v) After investigation of the cases registered under the Prevention of Corruption Act, 1988 if a prima facie case is made out against the erring public servants, they will be prosecuted in a competent Court of Law. As per Sec.19 of the Prevention of Corruption Act, the Disciplinary Authority has to accord sanction for prosecuting the public servants, after applying its mind to the facts and circumstances of the case. The Police, after investigation will send a report to the Disciplinary Authority along with all the

relevant materials and evidence collected during the course of investigation for according such Prosecution Sanction Order. On according the prosecution sanction, charge sheet will be filed before the competent Court of Law for prosecuting the accused. If on investigation, no case is made out against the accused, a report will be submitted to the competent Court of Law, for closure of the FIR already submitted to the Court.

The statistics showing the number of cases registered, its disposal, pending trial cases, etc. are shown in the following tables.

STATEMENT SHOWING THE CASES UNDER THE PREVENTION OF CORRUPTION ACT PENDING AT THE BEGINNING OF THE YEAR, CASES REGISTERED DURING THE YEAR AND THE CASES DISPOSED OFF DURING THE YEAR AND PENDING AT THE END OF THE YEAR 31/03/2016

Nature of case	Cases pending as on 31/03/2015	Cases registered from 01/04/2015 to 31/03/2016	Total	Cases disposed from 01/04/2015 to 31/03/2016	No., of cases pending as on 31/03/2016
Raid	283	54	337	44	293
Trap	145	87	232	144	88
Other Cases	362	58	420	43	377
Total	790	199	989	231	758

**MANNER OF DISPOSAL OF THE CASES REGISTERED UNDER
THE PREVENTION OF CORRUPTION ACT 1988**

Nature of case	No. of cases recommended for both D.E. & Prosecution	No. of cases in which DE recommended but no prosecution	No. of cases in which prosecution launched	'B' report submitted/ closed cases/ abated	Total No. of cases disposed off by the Police Wing
Raid	-	-	44	-	44
Trap	-	-	139	5	144
Other	-	-	30	13	43
Total	-	-	213	18	231

**STATEMENT SHOWING THE PROSECUTION SANCTION ORDERS
SOUGHT, RECEIVED AND PENDING WITH THE COMPETENT
AUTHORITY DURING THE YEAR 2015-16**

Number of cases pending for prosecution sanction as on 31/03/2015	Number of cases in which sanction for prosecution sought during the period from 01/04/2015 to 31/03/2016	Total	Number of cases in which sanction order for prosecution issued during the period from 01/04/2015 to 31/03/2016	Number of cases in which sanction order for prosecution pending with the Competent Authorities.
91	217	308	201	107

**STATEMENT SHOWING THE DETAILS OF TRIAL CASES
PENDING AT THE BEGINNING OF THE YEAR, CASES FILED
DURING THE YEAR AND DISPOSED OFF DURING THE YEAR**

Nature of cases	No. of trial cases pending at the beginning of 31/03/2015	Prosecution launched from 01/04/2015 to 31/03/2016	Total	Cases disposed off by the Trial Courts from 01/04/2015 to 31/03/2016	Cases Pending at the end of 31/03/2016
Raid	244	44	288	19	269
Trap	1431	139	1570	263	1307
Other	126	30	156	07	149
Total	1801	213	2014	289	1725

MANNER OF DISPOSAL OF COURT CASES

Nature of cases	No. of cases acquitted	No. of cases convicted	No. of cases discharged/ Abated, etc	Total
Raid	09	03	06	18
Trap	193	56	15	264
Others	02	01	04	07
TOTAL	204	60	25	289

The details of Raid cases registered under Sec. 13(1)(e) of the Prevention of Corruption Act, 1988 by the Police Wing during the year 2015-16 is appended to this Report at Annexure-F.

The details of Trap cases registered under Sec.7 and 13(1)(d) of the Prevention of Corruption Act, 1988 by the Police Wing during the year 2015-16 is appended to this Report at Annexure-G.

The details of other cases registered under Sec. 13(1)(c) and other provisions of the of the Prevention of Corruption Act 1988, IPC and other Acts, by the Police Wing during the year 2015-16 is appended to this Report at Annexure-H.

The list showing details of cases convicted by the Special Judge Courts (Trial Courts) which were

launched by the Police Wing of the Karnataka Lokayukta, during the year 2015-16 is appended to this Report at Annexure-I.

The Government in its Order No. ಸಿಆಸುಇ/14/ಸೇಲೋಯು/2016 dated 14/03/2016 has formed a separate body called Anti Corruption Bureau, to deal with the corruption cases independently.

Chapter-5

CASES REFERRED TO THE TECHNICAL WING

The following are the details of cases referred to Technical Wing of the Karnataka Lokayukta for the period from 01/04/2015 to 31/03/2016.

Wing	Cases pending as on 31/03/2015	Cases referred from 01/04/2015 to 31/03/2016	Total	Cases disposed of from 01/04/2015 to 31/03/2016	Cases pending as on 31/03/2016
Technical Wing	311	276	587	265	322
Accounts Wing	138	102	240	72	168
Total	449	378	827	337	490
Valuation cases	71	57	128	09	119

Chapter-6

ADMINISTRATION WING

The following is the Statement showing the Budget Grant
(Allotment) and Expenditure incurred for the year 2015-16
under the Head of Account 2070-00-104-0-02
Karnataka Lokayukta, Bengaluru.

(Rupees in lakhs)

Sl. No.	Item under Head of Account 2070-00-104-0-02	Total Grant Allotted	Addl. Grant	Re-appropriation	Grand Total	Expenditure
1	2	3	4	5	6	7
1	Salaries – Officers 002	156.51	-	-	156.51	-
2	Salaries – Staff 003	375.25	-	-	375.25	
3	Dearness Allowance 011	187.65	-	-	187.65	
4	Other Allowances 014	190.06	-	-	190.06	
TOTAL		909.47	-	-	909.47	
5	Subsidiary Expenses-015	189.00	-	(-)20.00	169.00	153.99
6	Travel Expenses – 041	11.00	-	-	11.00	3.43
7	General Expenses – 051	33.00	-	-	22.88	32.99
8	Building Expenses – 071	13.00	-	-	13.00	11.93
9	Machinery & Equipments-180	9.00	-	-	9.00	1.18
10	Transport Expenses-195	56.00	-	-	56.00	28.27
TOTAL		311.00	-	(-)20.00	291.00	231.79
Amount surrendered						59.21
Grand Total						291.00

The following is the Statement showing the Budget Grant (Allotment) and Expenditure incurred for the year 2015-16 under the Head of Account 2070-00-104-0-03 of Police Wing, Karnataka Lokayukta, Bengaluru.

(Rupees in lakhs)

Sl. No.	Item under Head of Account 2070-00-104-0-03	Total Grant Allotted	Addl. Grant	Re-appropriation	Grand Total	Expenditure
1	2	3	4	5	6	7
1	Salaries – Officers 002	393.88	-	-	393.88	-
2	Salaries – Staff 003	1768.62	-	-	1768.62	
3	Dearness Allowance -011	716.15	-	-	716.15	
4	Other Allowances -014	605.64	-	-	605.64	
	TOTAL	3484.29	-	-	3484.29	
5	Subsidiary Expenses-015	80.00	-	(+)20.00 (+) 0.80	100.80	90.45
6	Travel Expenses – 041	98.00	-	(-)10.00	88.00	76.82
7	General Expenses – 051	112.00	-	(+)2.97	114.97	111.05
8	Other Expenses – 059	100.00	-	(-) 15.00	85.00	61.43
9	Building Expenses – 071	24.00	30.00 40.00	(+)15.00 (+)14.50	123.50	113.70
10	Scholarship & Incentives-117	45.00	-	-	45.00	44.86
11	Machinery & Equipment-180	26.00	-	(+)1.23	27.23	22.00
12	Transport Expenses-195	248.00		(-)5.00 (-)4.50	238.50	201.93
	TOTAL	733.00	70.00	(+)20.00	823.00	722.24
					Amount Surrendered	100.76
					Grand Total	823.00

The following is the Statement showing the Revenue received during the year 2015-16.

Sl. No.	Particulars	Amount received
1	Deposition Copy fees, Direct Recruitment fees and others	4,66,893=00
2	Sale of old tyres, tubes and spare parts of vehicles	38,120=00
3	Sale of old Newspapers	3,640=00
4	Sale of old furniture through auction	39,685=00
5	Information fee under the Right to Information Act	1,25,598=00
	Total	6,73,936=00

CLASSIFICATION OF EMPLOYEES AS ON 31-03-2016

Sl. No.	Occupation	Number of Employees				Vacancies to be filled up during the next calendar year	Vacancies	Remarks
		Sanctioned Strength	Men	Women	Total			
1	2	3	4	5	6	7	8	9
CLASS I (GROUP 'A') OFFICERS								
1	Hon'ble Lokayukta	1	0	0	0	1	1	-
2	Hon'ble Upalokayukta	2	2	-	2	0	0	-
3	Registrar	1	0	0	0	1	1	-
4	Chief Engineer	1	1	0	1	0	0	
5	Addl. Registrar (Enquiries)	11	8	1	9	2	2	
6	Deputy Registrar (Enquiries)	5	4	0	4	1	1	
7	Secretary to Lokayukta	1	0	0	0	1	1	-
8	Deputy Registrar (Admn-1)	1	1	0	1	0	0	-
9	Deputy Registrar (Admn-2)	1	0	0	0	1	1	-
10	Superintending Engineer	1	1	0	1	0	0	-
11	Executive Engineer	3	0	0	0	3	3	
12	Deputy Controller (Accounts)	1	1	0	1	0	0	
13	Private Secy. to Hon'ble Lokayukta	1	0	0	0	1	1	-
14	Private Secy., to Hon'ble ULA	2	0	0	0	2	2	-
15	Public Prosecutor	1	1	0	1	0	0	-
16	Senior Asst. Public Prosecutor	9	4	1	5	4	4	-
17	Asst. Registrar (Legal Opinion)	5	0	0	0	5	5	-
18	Asst. Executive Engineer	5	5	0	5	0	0	
19	Assistant Registrar (Admn.)	3	2	1	3	0	0	-
20	Asst. Controller of Accounts	2	1	0	1	1	1	
21	Addl. Director General of Police	1	1	0	1	0	0	-
22	IGP/Dy. Inspector General of Police	1	1	0	1	0	0	
23	Superintendent of Police	23	13	5	18	5	5	-
24	Joint Commissioner (P.R)	1	1	0	1	0	0	-
25	Dy. Superintendent of Police	43	35	1	36	7	7	-
26	Gazetted Assistants	5	0	5	5	0	0	
27	Deputy Director of Statistics	1	1	0	1	0	0	-
	Total	132	83	14	97	35	35	-

CLASS II (GROUP 'B') OFFICERS

1	2	3	4	5	6	7	8	9
1	Assistant Engineer	8	1	1	2	6	6	-
2	Senior Judgment Writer	9	2	7	9	0	0	--
3	Translator	1	0	1	1	0	0	-
4	Legal Assistant (Court Officer)	1	0	1	1	0	0	-
5	Audit Officer	2	2	0	2	0	0	-
6	Accounts Superintendent	5	1	0	1	4	4	-
7	Manager	4	2	1	3	1	1	-
8	Police Inspector	92	62	1	63	29	29	-
	Total	122	70	12	82	40	40	-

CLASS III (GROUP 'C') OFFICIALS

1	2	3	4	5	6	7	8	9
1	Office Superintendent (Audit Superintendent)	30	21	6	27	3	3	-
2	Judgment Writer	20	6	12	18	2	2	-
3	Asst. Statistical Officer	2	1	1	2	0	0	-
4	Assistant Librarian	1	1	0	1	0	0	-
5	First Division Assistant	65	19	10	29	36	36	-
6	Second Division Assistant	58	24	11	35	23	23	-
7	Stenographer	41	8	12	20	21	21	-
8	Senior Typist	3	0	2	2	1	1	-
9	Typist	16	1	5	6	10	10	-
10	Clerk-cum-Typist	117	16	65	81	36	36	-
11	Senior Driver / Driver	26	20	0	20	6	6	-
12	Police Sub-Inspector	14	2	0	2	12	12	-
13	Asst. Police Sub-Inspector	4	4	0	4	0	0	-
14	Head Constable	149	122	5	127	22	22	-
15	H.C. Driver	15	2	0	2	13	13	-
16	Police Constables	266	194	34	228	38	38	-
17	Armed Police Constable/ Driver	149	100	0	100	49	49	-
	Total	976	541	163	704	272	272	-

CLASS IV (GROUP 'D') OFFICIALS								
1	2	3	4	5	6	7	8	9
1	Jamedars / Attenders	14	7	4	11	3	3	-
2	Cycle Orderlies / Home Orderlies/Dalayaths/Dalayaths-cum-Sweepers/Sweepers	139	44	29	73	66	66	-
3	Motor Cycle Orderlies	5	3	0	3	2	2	-
	Total	158	54	33	87	71	71	-

A B S T R A C T

Group	Sanctioned Strength	No. of Employees		Total	Vacancies to be filled up during the next calendar Year	Vacancies	Remarks
		Men	Women				
Group 'A'	132	83	14	97	35	35	-
Group 'B'	122	70	12	82	40	40	-
Group 'C'	976	541	163	704	272	272	-
Group 'D'	158	54	33	87	71	71	-
Total	1388	748	222	970	418	418	-

2 posts of Addl. Registrar of Enquires, 2 Judgment Writers, 2 First Division Assistants and 2 Dalayath posts are temporarily created under Govt. Order DPAR 38 SLU 2011 dated 25/3/2011 and the same is continued for one more year vide Govt. Order No. DPAR 10 SLU 2012 Dated 14/2/2012, continued for one year vide Govt. Order No. DPAR 37 SLU 2013 dated 9/4/2013 and further one more year vide Govt. Order No. DPAR 41 SLU 2014 dated 22/2/2014 and further by Order No. DPAR 06 SLU 2015 dated 29/1/2015.

One post of Asst. Librarian is filled up on outsource basis.

Vide Govt.Order No. DPAR 98 SLU 2016 Bengaluru dated 19/01/2016, following 20 posts are sanctioned for Internal Vigilance Unit (IVU):

- 1) Superintendent of Police – 01
- 2) Dy.Superintendent of Police – 01
- 3) Police Inspector – 02
- 4) Police Sub-Inspector -01
- 5) Civil Head Constable – 02
- 6) Civil Police Constable – 02
- 7) Armed Police Constable (Driver) – 03
- 8) Office Superintendent – 01
- 9) Stenographer – 02
- 10) Clerk-cum-Typist – 02
- 11) Dalayath - 02

Chapter-7

PARTICULARS OF THE TENURE OF THE OFFICE HELD BY THE HON'BLE LOKAYUKTAS

Name	From	To
1) Hon'ble Mr. Justice A.D.Koshal	15/1/1986	14/01/1991
2) Hon'ble Mr. Justice Rabindranath Pyne	25/1/1991	24/01/1996
3) Hon'ble Mr. Justice S.A. Hakeem	2/6/1996	01/06/2001
4) Hon'ble Mr. Justice N.Venkatachala	3/7/2001	02/07/2006
5) Hon'ble Mr. Justice N.SantoshHegde	3/8/2006	02/08/2011
6) Hon'ble Mr. Justice Shivaraj V. Patil	3/8/2011	20/09/2011
7) Hon'ble Justice Dr.Y.BhaskarRao	14/2/2013	07/12/2015(Resigned)

PARTICULARS OF THE TENURE OF THE OFFICE HELD BY THE HON'BLE UPALOKAYUKTAS

Name	From	To
1) Hon'ble Mr. Justice S.C.Mittal,	22/01/1986	21/01/1991
2) Hon'ble Mr. Justice Kamaleshwar Nath,	13/02/1992	12/02/1997
3) Hon'ble Mr. Justice G.P. Shivaprakash	02/04/1997	01/04/2002
4) Hon'ble Mr. Justice G.PatriBasavanaGoud	29/12/2004	28/12/2009
5) Hon'ble Mr. Justice S.B. Majage	19/07/2010	17/07/2015
6) Hon'ble Mr. Justice R. Gururajan	03/08/2011	20/10/2011
7) Hon'ble Mr. Justice Chandrashekaraiah	22/01/2012	03/04/2012
8) Hon'ble Mr. Justice Subash B. Adi	02/03/2013	Till the end of the current financial year and continued
9) Hon'ble Mr. Justice N. Ananda	17/12/2015	Till the end of the current financial year and continued

**INCUMBENCY OF REGISTRARS IN THE
KARNATAKA LOKAYUKTA INSTITUTION**

Sl. No.	Name of the officer	From	To
1	Sri. M.S. Nataraja Murthy	15/01/1986	31/12/1987
2	Sri. Adhip Choudhary,	01/02/1988	27/09/1988
3	Sri. V.Govindaraj	28/09/1988	11/10/1988
4	Sri. J.N. Srinivasa Murthy	12/10/1988	24/01/1997
5	Sri. M.J. Indrakumar	24/01/1997	31/05/1999
6	Sri. R.H. Raddi	01/06/1999	02/06/2000
7	Sri. B.A. Muchandi	03/06/2000	18/05/2002
8	Sri. D. Krishnappa	27/05/2002	13/09/2004
9	Sri. B.S. Reddy	13/09/2004	18/11/2004
10	Sri. D. Krishnappa	18/11/2004	26/05/2005
11	Sri. Sudhakar A Pandit	26/05/2005	29/05/2006
12	Sri. L. Subramanya	29/05/2006	16/02/2009
13	Sri. A.C.Vidhyadhara (incharge)	16/02/2009	30/03/2009
14	Sri. MoosaKunhi Nayar Moole	30/03/2009	03/10/2011
15	Sri. B. Yoginath (incharge)	4/10/2011	26/5/2013
16	Sri. H.R. Deshpande	27/6/2013	17/07/2015
17	Sri. M.S. Balakrishna (incharge)	17/07/2015	29/12/2015
18	Sri. R.S. Patil (incharge)	29/12/2014	End of the Financial year and continued till 30/05/2016

**INCUMBENCY OF ADGPs/IGPs IN THE
KARNATAKA LOKAYUKTA INSTITUTION**

Sl. No.	Name and Designation of the officer	From	To
1.	Sri. A.R. Sridharan, IPS, Inspector General of Police	15/01/1986	02/06/1986
2.	Sri. S.N.S. Murthy, IPS Inspector General of Police	02/06/1986	01/12/1988
3.	Sri. A.J. Anandan, IPS Inspector General of Police	15/12/1988	11/02/1991
4.	Sri. S.C. Burman, IPS Inspector General of Police	11/02/1991	13/04/1992
5.	Sri. Jai Parkash. IPS Inspector General of Police	13/04/1992	11/12/1995
6.	Sri. R. Jagannathan, IPS Director General of Police	23/12/1992	26/04/1995
7.	Sri. S.N. Borker, IPS Inspector General of Police	17/09/1992	12/12/1995
8.	Dr. S. Krishnamurthy, IPS Inspector General of Police	11/12/1995	15/03/1996
9.	Sri. K.U. Shetty, IPS Director General of Police	14/12/1995	31/10/1997
10.	Sri. M.D. Singh, IPS Inspector General of Police	29/03/1996	23/05/1997
11.	Sri. B.N.P Albuquerque, IPS Inspector General of Police	07/06/1997	08/07/1999
12.	Sri. B.N.P Albuquerque, IPS Addl. Director General of Police	09/07/1999	13/07/2004
13.	Sri. B.N.P. Albuquerque, IPS Director General of Police	14/07/2004	30/11/2004

Sl. No.	Name and Designation of the officer	From	To
14.	Sri. Lal Rokhuma Pachuau, IPS Addl. Director General of Police	22/06/2005	03/10/2007
15.	Sri. Rupak Kumar Dutta, IPS Addl. Director General of Police	03/10/2007	04/05/2011
16.	Sri. Pranob Mohanty, IPS Dy. Inspector General of Police (in-charge ADGP)	05/05/2011	09/08/2011
17.	Sri .G.V. Gaonkar, IPS Addl. Director General of Police.	10/08/2011	11/11/2011
18.	Sri. H.N.Sathyanarayana Rao, IPS Addl. Director General of Police.	11/11/2011	24/11/2014
19.	Sri. Prem Shankar Meena, IPS Addl. Director General of Police	24/11/2014	01/01/2016
20.	Dr. S. Parashiva Murthy, IPS Addl. Director General of Police	02/01/2016	End of the Financial year and continued

Chapter-8

VISITS OF HON'BLE LOKAYUKTA

Hon'ble Justice Dr. Y. Bhaskar Rao, assumed the Office of the Lokayukta, State of Karnataka on 14/02/2013 and functioning as such since that date. During the past two years, he has paid visits to several District Head Quarters in the State of Karnataka, in that he has visited mainly Government Hospitals and some of the government offices. During the District Visits, numbers of complaints have been received and after hearing the Complainants and public servants concerned, most of the complaints have been disposed on the spot itself. The Districts visited by the Lokayukta during the year 2015-16 with date and the number of complaints received in those places are as under:-

Sl. No.	Date of Visit	District	No. of complaints received
1	07/04/2015	Tumakuru	61
2	22/04/2015	Chamarajanagar	38
3	23/04/2015	Ramanagar	84
4	24/04/2015	Mandya	69
5	30/04/2015	Kodagu	44

The details of visits of Hon'ble Justice N. Ananda, Upalokayukta, State of Karnataka, are as under:

Sl. No.	Date of Visit	District	Programme
1	05/02/2016	Bidar	Conducted enquiry in respect of Government Referred Case
2	06/02/2016	Kalaburgi	
3	20/02/2016	Mysuru	

The details of visits of Hon'ble Justice Subash B. Adi, Upalokayukta, State of Karnataka, are as under:

Sl. No.	Date of Visit	District	Programme
1	03/04/2015	Belagavi	Reviewing complaints
2	20/06/2015	Gulbarga	Enquiry with Deputy Commissioner and Tahsildar
3	25/06/2015	Udupi	Spot inspection at Bellari, Udupi District
4	03/07/2015	Dharwad	Reviewing complaints
5	10/07/2015	Bellary	Review Meeting at Bellary Circuit House
6	22/08/2015	Hubballi	Review Meeting
7	27/08/2015	Mysuru	Review Meeting
8	22/11/2015	Chitradurga	Attended public hearing and redressal of the grievance of complainants relating to Challakere Taluk Chitradurga District.

Sd/-
(H.M.Nanjunda Swamy),
 Registrar,
 Karnataka Lokayukta,
 Bengaluru.